Please type a plus sign (+) inside this box → [+]

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	RPS920020184US1	Total Pages	21

First Named Inventor or Application Identifier

Martin J. Crippen

Express Mail Label No.	ER211528037US

U.S. PTO
10/717782
22154 10/7/1003
112003

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: **Commissioner for Patents**
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

1. [X] Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. [X] Specification   *(Total Pages)* __15__
(Preferred arrangement set forth below)

 - Descriptive title of the Invention
 - Cross References to Related Application
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

3. [X] Drawing(s) *(35 USC 113)*   *(Total Pages)* __3__

4. Oath or Declaration   *(Total Pages)* __3__

 a. [X] Newly executed (original or copy)

 b. [ ] Copy from a prior application (37 CFR 1.63(d))
 (for continuation /divisional with Box 17 completed)
 [Note Box 5 below]
 i. [ ] DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named
 in prior application, see 37 CFR 1.63(d)(2) and
 1.33(b).

5. [ ] Incorporation by Reference *(useable if Box 4b is checked)*
 The entire disclosure of the prior application, from which a copy
 of the oath or declaration is supplied under Box 4b is considered
 as being part of the disclosure of the accompanying application
 and is hereby incorporated by reference therein.

6. [ ] Microfiche Computer Program *(Appendix)*

7. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*

 a. [ ] Computer Readable Copy

 b. [ ] Paper Copy (identical to computer copy)

 c. [ ] Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

8. [X] Assignment Papers (cover sheet & document(s))

9. [ ] 37 CFR 3.73(b) Statement   [X] Power of Attorney
 (when there is an assignee)

10. [ ] English Translation Document *(if applicable)*

11. [ ] Information Disclosure   [ ] Copies of IDS
 Statement (IDS)/PTO-1449   Citations

12. [ ] Preliminary Amendment

13. [X] Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)

14. [ ] Small Entity [ ] Statement filed in prior application,
 Statement(s)   Status still proper and desired

15. [ ] Certified Copy of Priority Document(s)
 if foreign priority is claimed)

16. [X] Other: Express Mail Certificate

....

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17. If a CONTINUING APPLICATION, *check appropriate box and supply the requisite information:*

[ ] Continuation   [ ] Divisional   [ ] Continuation-in-part (CIP)   of prior application No.:

---

18. CORRESPONDENCE ADDRESS

X Customer Number or Bar Code Label ____36491____
[ ] Or Correspondence address below

NAME	
ADDRESS	

CITY		STATE		ZIP	
COUNTRY		TELEPHONE	(801) 994-4646	FAX	(801) 531-1929

Assignee Name: INTERNATIONAL BUSINESS MACHINES CORPORATION
Assignee Residence: Armonk, New York

Please type a plus sign (+) inside this box → | + |

FEE TRANSMITTAL

Note: Effective October 1, 2001.
Patent fees are subject to annual revision.

Complete If Known	
Application Number	Not yet assigned
Filing Date	November 20, 2003
First Named Inventor	Martin J. Crippen
Group Art Unit	
Examiner Name	

TOTAL AMOUNT OF PAYMENT	$ 896 ·	Attorney Docket Number	RPS920020184US1

METHOD OF PAYMENT (check one)

1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: __50-0563__

Deposit Account Name: __IBM CORPORATION__

[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

[ ] Charge the Issue Fee In 37 CFR at the Mailing of the Notice of Allowance

2. [ ] Payment Enclosed:

[ ] Check   [ ] Money Order   [ ] Other

FEE CALCULATION

1. FILING FEE

Large Entity | **Small Entity**

Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	770
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) | $ 770

2. CLAIMS

	Extra		Fee from below		Fee Paid
Total Claims	20	-20 =	0	x 18 =	0
Ind. Claims	4	- 3 =	1	x 86 =	86
Multiple Dep. Claims			0	x 290 =	0

Large Entity | **Small Entity**

Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim

SUBTOTAL (2) | $ 86

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity | **Small Entity**
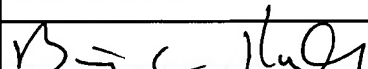
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2520	1812	2520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1840*	1805	1840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1480	2254	740	Extension for reply within fourth month	
1255	2010	2255	1005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1510	1451	1510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - intentional	·
1453	1330	2453	665	Petition to revive - unintentional	
1501	1330	2501	665	Utility issue fee	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1814	110	2814	55	Statutory disclaimer (37 CFR 1.120(d))	

Other fee (specify) _____

*Reduced by Basic Filing Fee   **SUBTOTAL (3)** | $ 40

SUBMITTED BY

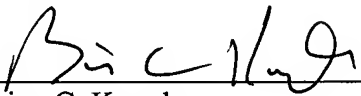|		Complete (if applicable)	
Typed or Printed Name	Brian C. Kunzler	Reg. Number	38,527
Signature		Date: Nov 20, 2003	Deposit Account User ID	

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label No.: ER211528037US

Date of Deposit: November 20, 2003

I hereby certify that this patent application in the name of Martin J. Crippen, Francis A.

Kuchar Jr, Jason A. Matteson, and Brian A. Trumbo for AUTOMATIC RECIRCULATION

AIRFLOW DAMPER, together with the drawings, a Declaration, Power of Attorney, and

Petition, an Assignment and Recordation Form Cover Sheet, are being deposited with the United

States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on

the date indicated above in an envelope addressed to Mail Stop Patent Application,

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

Brian C. Kunzler
Reg. No. 38,527
Attorney for Applicant

Date: November 20, 2003

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